



**Ashok Kumar Daga**

B. Com. (H), LLB., FCS  
Practising Company Secretary

AVANI OXFORD, PHASE II  
136, JESSORE ROAD, BLOCK - 1  
FLAT # 1B, 1ST FLOOR  
KOLKATA - 700055  
Phone : +91 33 32916865  
Mobile : 09831036425, 09830236425  
E-mail : daga.ashok@gmail.com

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE PERIOD ENDED ON 31<sup>ST</sup> MARCH, 2024.**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **BLOOM INDUSTRIES LIMITED** maintained at the office of its Registrar and Share Transfer Agent (RTA) **PURVA SHAREREGISTRITY (INDIA) PVT LTD.** of 9 Shiv Shakti Industrial Estate, J R Borieha Marg, Opp Kasturba Hospital, Lower Parel (E) Mumbai - 400011, pertaining to transfer of equity shares of the company for the period ended on 31<sup>st</sup> March, 2024 for the purpose of issuing a Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations And Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and the RTA, I hereby certify that the Company during the year ended on 31<sup>st</sup> March, 2024.

- From 1st April, 2023 to 31st March, 2024 as per the records made available to us the Company had received 5 requests for transmission of 1300 shares, out of which 2 request for 600 shares was approved and delivered within the stipulated time i.e., within 21 days.

3 requests for 700 shares were not transmitted and delivered as per the reasons stated in objection memo issued by registrar as follows:

SL NO.	OBJECTION CODE	NO. OF SHARES	OBJECTION ISSUED WITHIN 21 DAYS / MORE THAN 21 DAYS	REASON
1.	125	100	WITHIN 21 DAYS	<p>1. A notarized affidavit from all legal heir(s) on non-judicial stamp paper of Rs. 100/-, to the effect of identification and claim of legal ownership to the securities providing the same for other legal heir is required.</p> <p>2. Name of all legal heirs with their signatures in the Indemnity Bond is required.</p> <p>3. Self-attested copy of the PAN and address proof of all legal heirs are required.</p>



**Ashok Kumar Daga**

B. Com. (H), LLB., FCS  
Practising Company Secretary

AVANI OXFORD, PHASE II  
136, JESSORE ROAD, BLOCK - 1  
FLAT # 1B, 1ST FLOOR  
KOLKATA - 700055  
Phone : +91 33 32916865  
Mobile : 09831036425, 09830236425  
E-mail : daga.ashok@gmail.com

2.		100		4. ISR 2 Bank attestation form is required which should not be more than 6 months old from the submission date of the transmission documents.  5. Company address on the Transmission request form and SH13 is required.  6. The book number and serial number on the Notarized documents required to mentioned by the Notary.  A separate Affidavit for the other legal heir on non-judicial stamp paper of Rs. 100/-is required
3.		500		The non claimant's need to sign in the NOC under the verification clause.

- As per the records made available to us 19 requests for issue of 16700 duplicate/renewal (Mutilated) shares were received by the company out of which 1 request for 1000 shares were approved and delivered within the stipulated time i.e. within 30 days.

2 requests for 3000 shares were approved and delivered after the stipulated time i.e. more than 30 days due to procedural delay.

16 requests for 12700 were not approved as per the reasons stated in Objection memo issued by registrar as follows:



## Ashok Kumar Daga

B. Com. (H), LLB., FCS  
Practising Company Secretary

AVANI OXFORD, PHASE II  
136, JESSORE ROAD, BLOCK - 1  
FLAT # 1B, 1ST FLOOR  
KOLKATA - 700055  
Phone : +91 33 32916865  
Mobile : 09831036425, 09830236425  
E-mail : daga.ashok@gmail.com

SL NO.	OBJECTION CODE	NO. OF SHARES	OBJECTION ISSUED WITHIN 30 DAYS / MORE THAN 30 DAYS	REASON
1.	257	11700	WITHIN 30 DAYS	<p>Proper annexure mentioning Serial No., Name of shareholder as per record of the Company, certificate number, distinctive numbers and the folio number duly self-attested is required.</p> <p>Challah generated by the applicant in MTR Form Number 6, are valid for documents to be registered in Sub – Registrar office only and is not valid for unregistered documents</p> <p>The Applicant need to mention the company address.</p> <p>The applicant needs to sign on all the pages of the Affidavit and Indemnity Bond and above the "Deponent" mentioned in the Affidavit.</p> <p>Some corrections wherever marked in the Affidavit and Indemnity Bond. Also authentication of documents with the signature of the shareholder and the notary wherever the changes have been made are required</p>
2.		1000		<p>Covering letter for issue of duplicate share certificates, signed by all the shareholders are required.</p>



**Ashok Kumar Daga**

B. Com. (H), LLB., FCS  
Practising Company Secretary

AVANI OXFORD, PHASE II  
136, JESSORE ROAD, BLOCK - 1  
FLAT # 1B, 1ST FLOOR  
KOLKATA - 700055  
Phone : +91 33 32916865  
Mobile : 09831036425, 09830236425  
E-mail : daga.ashok@gmail.com

				<p>Signature in the space mentioned in the first page of ISR4 is required.</p> <p>Self-attested copy of the PAN and address proof of witnesses mentioned in the Indemnity Bon is required.</p>
--	--	--	--	------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

- As per the records made available to us, 2 requests for 11000 shares received for change of address were received, none of the requests were approved as per the reasons stated in Objection memo issued by registrar as follows:

SL NO.	OBJECTION CODE	NO. OF SHARES	OBJECTION ISSUED WITHIN 30 DAYS / MORE THAN 30 DAYS	REASON
1.	20	11000	WITHIN 30 DAYS	<p>As per SEBI Circular dated 03/11/2021, any one of the following documents required (for old address proof) for processing the change of address.</p> <p>(a)Valid Passport / Registered Lease or Sale Agreement of Residence / Driving License / Flat Maintenance bill.</p> <p>(b)Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.</p> <p>(c)Identity card / document with address, issued by any of the following: Central / State Government and its Departments, Statutory / Regulatory Authorities,</p>



**Ashok Kumar Daga**

B. Com. (H), LLB., FCS  
Practising Company Secretary

AVANI OXFORD, PHASE II  
136, JESSORE ROAD, BLOCK - 1  
FLAT # 1B, 1ST FLOOR  
KOLKATA - 700055  
Phone : +91 33 32916865  
Mobile : 09831036425, 09830236425  
E-mail : daga.ashok@gmail.com

				<p>Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions.</p> <p>(d) For FII / sub account, Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken.</p> <p>(e) The proof of address in the name of the spouse.</p> <p>(f) Client Master List (CML) of the Demat Account of the holder / claimant, provided by the Depository Participant.</p> <p>(g) If proof of address is in the name of spouse, self-attested copy of Identity Proof of the holder/claimant is required.</p>
--	--	--	--	------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

Place: Kolkata  
Date: 30.04.2024

**UDIN NO. F002699F000276467**

(Ashok Kumar Daga)  
Company Secretary in Practice  
Membership No. FCS -2699  
CP No. 2948